FFY 2007 (July 1, 2007 – June 30, 2008) Annual Performance Report (APR)

South Dakota, Birth to 3 Connections program – Part C obtained broad stakeholder input from the state when developing the Annual Performance Report (APR). This included:

- Collaboration with Part C Birth to 3 Connections state staff, Mountain Plains Regional Resource Center, Special Education Program Consultant and Office of Special Education Program (OSEP) to provide technical assistance on the process of developing the APR.
- Input from service coordinators at the Fall Training in 2008.
- Input from the State Interagency Coordinating Council (SICC) and additional individuals who comprised the Stakeholders, collaborated with the State Lead Agency (Department of Education) to develop the APR. The SICC and Stakeholders met October 30, 2008 and January 15, 2009. The members represented a variety of programs and agencies such as Early Head Start, the Division of Insurance, Early Intervention Providers, Parents, South Dakota Parent Connections, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Services/Medicaid, South Dakota Homeless, South Dakota Foster Care/Child Protection Services, South Dakota Department of Health and Human Services/Children's Mental Health, South Dakota Child Care Services, Birth to Three Regional Program Contractors, Birth to 3 Connections Service Coordinators, South Dakota Education Cooperative, the Council of Administration of Special Education (CASE), Part B, Mountain Plains Regional Resource Center, and Part C staff.
- Sharing the Annual Performance Report and updated State Performance Plan with Stakeholders and the Interagency Coordinating Council for additional comments and changes.
- Providing a copy of the Annual Performance Report to the Governor.
- Posting the Annual Performance Report and updated State Performance Plan on the Birth to 3
 Connections website http://doe.sd.gov/oess/Birthto3/index.asp. Six South Dakota newspapers notified the public of the website and the availability of the Annual Performance Report and the updated State Performance Plan.
- Notifying all regional Birth to Three programs and Service Coordinators of the availability of the Annual Performance Report and the updated State Performance Plan on the Birth to Three Connections website.
- Providing hard copies available to interested individuals upon request.

When the APR is finalized, Part C Birth to 3 Connections program will disseminate the report in the following ways:

- The following major newspapers will post the notice of availability of the Annual Performance Report and the updated State Performance Plan on the Birth to Three Connections website: Sioux Falls – <u>Argus</u> <u>Leader</u>, Aberdeen-<u>American News</u>, Huron - <u>Plainsman</u>, Pierre – <u>Capitol Journal</u>, <u>Rapid City Journal</u> and Flandreau – Lakota Dakota Journal.
- Notify the SICC and Stakeholders of the availability of the Part C Annual Performance Report and revised State Performance Plan on the Birth to Three Connections website.
- South Dakota Parent Connection will announce publication of the Part C Annual Performance Report and revised State Performance Plan in the newsletter "The Circuit" for parents.
- Hard copies will be available upon request.
- The Birth to 3 Connections program will publicly report the local data on the required Indicators 1, 2, 5, 6, 7 & 8 by individual regional programs. The information will be available no later than March 1, 2009 on the Birth to 3 website.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	100 percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

Actual Target Data for July 1, 2007 – June 30, 2008 is 100%: Target Met.

South Dakota Part C program did meet the target July 1, 2007 – June 30, 2008 as results of monitoring the three local programs during the scheduled monitoring cycle (see Table # 1). The state has changed the monitoring process to include timely services. In South Dakota, Birth to 3 Connections defines timely services as services beginning within 30 calendar days from the date the parent signs the Individualized Family Service Plan (IFSP). During the period July 1, 2007 to June 30, 2008, the state monitored the following areas and did not find compliance issues with these local programs on timely services.

Table # 1

Local Program	Monitoring	Number of Files	# of Files with	Findings on Timely
		Reviewed	Timely Services	Services
Hub Area Birth to	October 2007	26 files	26 files	-0-
Three				
Heartland Hands	November	10 files	10 files	-0-
	2007			
Three Rivers Birth to	May 2008	26 files	26 files	-0-
Three				

Regional area programs are reviewed every three years by the state. Each of the 9 programs will be reviewed within the 3 year scheduled monitoring cycle. The monitoring process includes early intervention record review of 30% of the files randomly selected. The data are valid and reliable because the data system is used to compare the IFSP meeting and the first billing of each service to determine if timely services are being met. In addition there are interviews with parents and local service providers. A survey is sent directly from the state office to all parents in the regional program that includes questions about timely services. The findings from these monitoring procedures are compiled into a final report. An improvement plan with corrective action steps and timelines for correction is developed by the regional area program and approved by the state Part C staff.

Technical assistance is provided to the regional program areas as needed to ensure closures of corrective action plan within one year.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

The target of 100 percent for 2007-2008 as set forth in the SPP December 2007 was met as required. There was no slippage in meeting the target.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES	PROGRESS ON IMPROVEMENT ACTIVITIES – JULY 1, 2007 – JUNE 30, 2008		
Monitoring system has been revised to allow monitoring of this indicator.	December 2005 monitoring system was revised by adding a timely page to the monitoring process to better identify the provision of timely services.		
	June 2007, an additional component was added to the monitoring process to document exceptional family circumstances outside of the lead agency's control.		
Monitoring system has been implemented for this indicator.	Fall of 2007 and early Spring 2008 – Hub Area Birth to 3, Heartland Hands Birth to 3, and Three Rivers Birth to 3 programs were monitored for timely services.		
Technical Assistance Guide has been developed and disseminated to Service Coordinators to provide guidance on documenting timely services.	Feb. 2006, a technical assistance document was sent to all providers which included timely services. This document is also available on the Birth to 3 Connections website http://doe.sd.gov/oess/Birthto3/docs/TimelyService.pdf		
Training and technical assistance provided for providers and service coordinators which reinforce the importance of starting services in a timely manner	September 2007, Birth to 3 state staff provided training to service coordinators which includes timely services.		
and the definition of "timely" early intervention services	Sept. 2006, early intervention providers received information on timely services with their Payer of Last Resort form for six months.		
	Since the implementation of the current monitoring system, there has been no findings around timely services.		
Birth to 3 Connections program will monitor programs for compliance with this indicator. When noncompliance is identified, state staff will work with the programs to determine nature of noncompliance and develop Corrective Action.	The following local programs were monitored for timely services: Hub Area Birth to 3, Heartland Hands Birth to 3, and Three Rivers Birth to 3. There were no compliance issues.		

APR Template - Part C (4)

South Dakota

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008 [If applicable]

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.¹

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	96.9% of infants and toddlers with IFSPs will receive early intervention services primarily in the home, or programs for typically developing children.

Actual Target Data for July 1, 2007 – June 30. 2008. Target Met

Currently, the state is at 100% in serving children in the natural environment. This data is based on child count from December 1, 2007. South Dakota exceeded its target of 96.9%.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for:

South Dakota Part C program provides services for infant and toddlers in the natural environment. From December 1, 2007, 100% of services were provided in the home or programs for typically developing children. Every IFSP is reviewed by state staff to ensure the natural environment requirements are followed correctly. If issues arise, immediate follow-up and technical assistance is provided by the state staff.

Natural Environment in South Dakota 1999-2006

Year	Total on child count December 1	% being served in natural environments
1999	611	97%
2000	645	97%
2001	655	97%
2002	704	96%
2003	830	97%
2004	897	96%
2005	935	96.8%
2006	1006	98%
2007	1132	100%

During FFY 2007, 100% of the children were served in the natural environment. That means that all 1,132 children were provided services in the natural environment of the home or daycare.

The Birth to 3 Connections data is valid and reliable. The state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES	PROGRESS ON IMPROVEMENT ACTIVITES JULY 1, 2007 – JUNE 30, 2008
Examine State's data to determine age group patterns for participation in natural environments: • Run and analyze data • Share data with regional programs at Annual Fall Service Coordinator Conference • Implement improvement strategies as necessary	The data showed that South Dakota provided early intervention services in the home or programs for typically developing children. The data is shared with Service Coordinators and will be publicly posted on the Birth to Three Connections website. Technical assistance is provided as needed.
Provide technical assistance on the above activities as needed.	The state staff and service coordinators continue to provide technical assistance as needed to service coordinators to ensure the natural environment requirements are met.
Provide training to service coordinators on the 618 setting definitions.	The 618 data including data on the natural environment, showed that South Dakota provided early intervention services in the home or programs for typically developing children. No additional training was deemed necessary.
Birth to 3 Connections program will monitor programs for compliance related to this indicator. When noncompliance is identified, state staff will work with the programs to determine nature of noncompliance and develop Corrective Action.	The Birth to 3 Connections program will continue to monitor for compliance issues as needed. When monitoring Hub Area Birth to 3, Heartland Hands Birth to 3, and Three Rivers Birth to 3, there were no compliance issues with the natural environment.
	State staff reviews every IFSP as they are received to ensure the requirements for natural environment are met.

APR Template – Part C (4)

South Dakota

Revisions,	with Justification,	to Proposed Targ	ets / Improvemen	t Activities / T	Timelines / F	Resources for	July
1, 2007 - J	une 30, 2008		-				_
[If applicable]							

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Positive social-emotional skills (including social relationships):
 - a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - c. Percent of infants and toddlers who improved functioning to a level nearer to sameaged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

- B. Acquisition and use of knowledge and skills (including early language/communication and early literacy):
 - a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- c. Percent of infants and toddlers who improved functioning to a level nearer to sameaged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

- C. Use of appropriate behaviors to meet their needs:
 - a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
 - b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
 - c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
 - d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
 - e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

Explanation of Baseline Data:

Data was collected for the first full year during July 1, 2006 – June 30, 2007. The following information is outcome data from the Battelle Developmental Inventory-Second Edition (BDI-2) for (A) positive social-emotional skills (including social relationships); (B) acquisition and use of knowledge and skills (including early language/communication); and (C) use of appropriate behaviors to meet their needs. The data was collected from test scores when a child, who has been in the program for at least 6 months, is ready to exit the program. The BDI-2 entry and exit scores are then compared to determine the child's progress. During July 1, 2006 – June 30, 2007, Birth to 3 Connections had 96 children who were tested when exiting the program. As a comparison, the entry scores are determined by the standard deviation scores from each outcome area for each child. The cutoff for each domain used to determine whether a child entered at age appropriate or below age appropriate is -1.5 Standard Deviations below the norm on the BDI-2 scoring chart. This cutoff was chosen because it aligns with the state eligibility criteria for qualifying for services. A score above -1.5 does not qualify a child for services.

Those entry scores are then compared to the exit scores using the same criteria. By comparing the two test scores, a child's progress can be measured.

The following tables show the outcomes for children exiting the program during the first two years in which entry and exit scores were collected from children who had been in the program for at least 6 months. The State will continue to collect data a third year (July 1, 2008 – June 30, 2009) so that a baseline can be established by analyzing three complete years of data. The result of the analysis will allow the State to establish a realistic baseline and set measurable and rigorous targets in FFY 2008.

To compare the data from 2006 to 2007, the following tables provide an explanation of the outcomes for children who were in the program for at least 6 months:

Table 1 2006 Reporting Outcomes for Children Exiting the Program (July 1, 2006 – June 30, 2007)

Reporting Categories	Positive Social Emotional Skills	Acquisition and Use of Knowledge and Skills	Use of Appropriate Behaviors to Meet Their Needs
Reporting Category A (did not improve functioning)	12 children	21 children	3 children
	12.50%	21.88%	3.13%
Reporting Category B (improved functioning, but not sufficient to move nearer to functioning comparable to sameaged peers)	0 children	0 children	0 children
	0%	0%	0%
Reporting Category C (improved functioning to a level nearer to same-aged peers, but did not reach it)	2 children	11 children	2 children
	2.08%	11.46%	2.08%
Reporting Category D (improved functioning to reach a level comparable to same-aged peers)	5 children	16 children	1 child
	5.21%	16.67%	1.04%
Reporting Category E (maintained functioning at a level comparable to same-aged peers)	77 children	48 children	90 children
	80.21%	50.00%	93.75%
Total	96 children	96 children	96 children
	100.00%	100.00%	100.00%

Table 2 2007Reporting Outcomes for Children Exiting the Program (July 1, 2007– June 30, 2008)

Reporting Categories	Positive Social Emotional Skills	Acquisition and Use of Knowledge and Skills	Use of Appropriate Behaviors to Meet Their Needs
Reporting Category A (did not improve functioning)	32 children	57 children	10 children
	9.85%	17.54%	3.08%
Reporting Category B (improved functioning, but not sufficient to move nearer to functioning comparable to sameaged peers)	0 children	0 children	0 children
	0%	0%	0%
Reporting Category C (improved functioning to a level nearer to same-aged peers, but did not reach it)	5 children	20 children	5 children
	1.54%	6.15%	1.54%
Reporting Category D (improved functioning to reach a level comparable to same-aged peers)	34 children	57 children	18 children
	10.46%	17.54%	5.54%
Reporting Category E (maintained functioning at a level comparable to same-aged peers)	254 children	191 children	292 children
	78.15%	58.77%	89.85%
Total	325 children	325 children	325 children
	100.00%	100.00%	100.00%

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007– June 30, 2008:

The table above shows the outcome for 325 children who participated in the Birth to Three program for at least 6 months, and who had exited the program during July 1, 2007 – June 30, 2008. In order to obtain the data necessary for Indicator 3 of the SPP, South Dakota administers the Battelle Developmental Inventory 2nd Revision (BDI-2) to all children as part of the eligibility determination process at entry into the program and again at transition. The pre-test must be completed within 45 days of referral. There is no strict timeline for administering the post-test, but it must be completed close to the time when a child exits the program.

During July 1, 2007 – June 30, 2008 entry and exit scores were compared on 325 children. Based on an analysis of the data in the category of positive social emotional outcomes, 32 children showed no improvement, 39 children improved functioning, while 254 children maintained functioning at a level comparable to same-aged peers. In the category of acquisition and use of knowledge outcomes, 57 children showed no improvement, 77 children improved functioning, while 191 children maintained functioning at a level comparable to same-aged peers. In the category of use of appropriate behaviors outcomes, 10 children showed no improvement, 23 children improved functioning, while 292 children maintained functioning at a level comparable to same-aged peers.

A baseline will be established by analyzing FFY 2006 – FFY 2008 data and measurable and rigorous targets will be identified in the FFY 2008 APR, due February 1, 2010. Progress and/or slippage will be determined by comparing

the measurable and rigorous targets identified in the FFY 2008 APR to the data collected in FFY 2009 APR. A complete copy of the state's SPP for Indicator 3 can be found at http://doe.sd.gov/oess/Birthto3/index.asp.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system. The BDI-2 is a standardized measurement tool that looks at five developmental domains. All children receive entry and exit testing using the same instrument. Therefore all children are measured in exactly the same way making the data collected reliable and valid. The developmental domains are collapsed into the three reporting categories. Social-Emotional domain stands as is; Cognitive and Communication domains are combined to address acquisition and use of knowledge and skills; Physical and Adaptive domains are combined to address use of appropriate behaviors to meet their needs. The data system was designed to take the scores in each of the three outcomes to determine the level of functioning and compare entry to exit results.

Training was provided to new practitioners and ongoing technical assistance was available to early intervention providers. In January 2006 a notice was sent to the 168 local school districts in the state on the change for testing requirements and the training for the BDI-2. In February 2006, Part B and C collaborated to provide a statewide training for the BDI-2 in South Dakota. South Dakota Department of Education, Special Education Programs, provided training in three areas of the state. In addition a fourth training occurred in September 2006.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008

[If applicable]

The following activities clarify the timelines for collecting data used to establish a baseline and for identifying measurable and rigorous targets:

Improvement Activities/Timelines/Resources

ACTIVITIES	RESOURCES	TIMELINES
Provide training and technical assistance to improve quality of data and address any slippage.	State B-3 staff	• Sept. 2008 - June 30, 2011
Continue to collect entry and exit score data. Data analysis conducted to establish measurable and rigorous targets.	State B-3 staff	• Jan. 2009
Data analysis conducted to determine progress or slippage.	State B-3 staff	• Jan. 2010
Data analysis conducted to determine progress or slippage.	State B-3 staff	• Jan. 2011

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

	Measurable and Rigorous Target			
FFY	B. Effectively communicate their child's A. Know their rights needs develop and lear			
2007 (2007-2008)	96.0%	89.6%	89.4%	

Data for July 1, 2007 - June 30, 2008

Display 4-1: Percent of families who state that the Birth to 3 Connections Program has helped them.

	A. Know their rights	B. Effectively communicate their child's needs	C. Help their child develop and learn
Number of Parents who received a score for a given area	225	225	225
Number of families who said early intervention services helped them	220	219	212
Percent of families who said early intervention services helped them	97.8%	97.4%	94.2%

The target for A. was met.

The target for B. was met.

The target for C. was met.

The purpose of the family outcome survey is to assist the Birth to 3 Connections program in determining how early intervention services have helped the family: (A) know their rights; (B) effectively communicate their children's needs, and (C) help their children develop and learn. The survey data will assist the program in tailoring early intervention services and will result in positive outcomes for families as well as improved outcomes for children.

In FFY2007, a total of 813 surveys were distributed to Part C families, and 226 were returned for a response rate of 27.8%.

In FFY2007, the shorter version of the family outcome survey was administered. The shorter version of the survey consists of 28 items. The shorter version of the survey, used by other states, is adequate to measure this indicator. The Birth to 3 Connections program staff members believed that the shorter version would increase the response rate. The response rate did in fact increase from 23.7% to 27.8%.

To arrive at the percent of parents who report that the Birth to 3 Connections program has helped them achieve each of the three areas, a "percent of maximum" scoring procedure was used. A "percent of maximum" score based on three items for area A, five items for area B, and four items for area C was calculated. Each survey respondent received a percent of maximum score for each of these three areas. A respondent who rated early intervention services a "6" (Very Strongly Agree) on each item for a given target area received a 100% score for that target area; a respondent who rated early intervention services a "1" (Very Strongly Disagree) on each item for a given target area received a 0% score. A respondent who rated early intervention services a "4" (Agree) on each item for a given target area received a 60% score for that target area. (Note: a respondent who on average rated their experiences a "4", e.g., a respondent who rated 8 items a "4," 9 items a "3" and 9 items a "5," would also receive a percent of maximum score of 60%.) A parent who has a percent of maximum score of 60% or above was identified as one who met a given target area. A 60% cut-score is representative of a parent who, on average, agrees with each item; as such, the family member is agreeing that the Birth to 3 Connections program has helped them.

The items used to arrive at a score for each area are listed in Display 4-2.

Display 4-2: Items Used to Calculate a Score for Each Area

A. Know their rights

Over the past year, Early Intervention services have helped me and/or my family:

- 19. understand how the Early Intervention system works.
- 25. know about my child's and family's right concerning Early Intervention services.

B. Effectively communicate their children's needs

Over the past year, Early Intervention services have helped me and/or my family:

- 12. get the services that my child and family need.
- 18. feel that I can get the services and supports that my child and family need.
- 20. be able to evaluate how much progress my child is making.
- 23. communicate more effectively with the people who work with my child and family.

C. Help their children develop and learn

Over the past year, Early Intervention services have helped me and/or my family:

- 10. know where to go for support to meet my child's needs.
- 13. feel more confident in my skills as a parent.
- 15. make changes in family routines that will benefit my child with special needs.
- 26. do things with and for my child that are good for my child's development.

The improved response rate is credited to reducing the number of questions in the survey. In 2005-06 and 2006-07, a 47-item survey was administered to parents. In 2007-08, the survey was reduced to 28 items. In the past, area A was based on 3 items; it is now based on 2 items. In the past, area B was based on 6 items; it is now based on 4 items and it is still based on the same items.

Reliability and Validity

The representativeness of the surveys was assessed by examining the demographic characteristics of the children of the parents who responded to the survey to the demographic characteristics of children in the Part C system in South Dakota. This comparison indicates the results are representative by geographic region where the child receives services. Parents from each region responded to the survey, with response rates by region ranging from 19.7% to 44.6%. This comparison also indicated that results are representative by race/ethnicity. For example, 71% of the parents who returned a survey indicated that their children are white and 69% of Part C children are white; 23% of parents who returned a survey indicated that their children are Native American and 23% of Part C children are Native American. Parents were allowed to circle "multi-racial" on the survey and this category most likely included some Native American children as well.

Explanation of progress or slippage that occurred for FFY 2007:

As indicated below in Display 4-3, scores increased for areas A, B and C.

Display 4-3: Percent of families who state that the Birth to 3 Connections Program has helped them, Results Over Time

	A. Know their rights		B. Effectively communicate their child's needs		communicate their		C. Help to develop	
	FFY2006	FFY2007	FFY2006	FFY2007	FFY2006	FFY2007		
Number of families who received a score for a given area	131	224	132	221	121	223		
Number of families who said early intervention services helped them	123	219	118	215	108	210		
Percent of families who said early intervention services helped them	93.9%	97.8%	89.4%	97.4%	89.3%	94.3%		
Measurable and Rigorous Targets	95.8%	96.0%	89.4%	89.6%	89.2%	89.4%		

Discussion of Improvement Activities Completed for FFY 2007:

To address the issue of a lower than expected response rate and incomplete surveys, the Birth to Three Connections program identified problems and possible solutions during the annual service coordinators' conference. The consensus was the length of the survey kept some families from completing the survey. The number of questions on the survey was reduced and the shortened version was used in FFY 2007.

Also addressed with the service coordinators was the importance of explaining parents' rights in plain language so that each family understands their rights under the law was reinforced with all service coordinators. The Birth to Three staff reinforced the importance of hand-delivering the surveys to families and spending the time necessary to fully explain the survey and its importance to the overall success and continuation of the program. By monitoring the number of surveys returned from each region, targeted technical assistance was provided to those service coordinators.

In Display Table 4-4, each category showed an improved favorable response from FFY 2006 to FFY2007 toward early intervention services. Category A. Know their rights, increased favorably by 3.9%; category B. Effectively communicate their child's needs, increased favorably by 8.0%; and category C. Help their child develop and learn, increased favorably by 5.0%.

Display 4-4: Percent of family respondents who report that early intervention services have helped the family do the following:

the following.			
	2006-07	2007-08	Difference
A. Know their rights	93.9%	97.8%	3.9%
B. Effectively communicate their child's needs	89.4%	97.4%	8.0%
C. Help their child develop and learn	89.3%	94.3%	5.0%

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2007 (2007-2008)

The parent survey was revised by reducing the number of questions used for FFY 2007. The improved results by region show the shortened survey was helpful, as was reinforcing the importance of explaining the survey to families.

Revisions were made in the SPP, Indicator 4, page 16, regarding the revised survey which reduced the number of survey questions. Part C staff members and Stakeholders examined the wording and the length of the survey. The survey questions were reduced from 47 questions to 31. The Part C staff began using the shortened survey as of July 1, 2007. Part C staff will compare the response rate in FFY 2007 (July 1, 2007 – June 30, 2008) to that in FFY 2006 (July 1, 2006 – June 30, 2007) to determine if the revised survey generated an improved response rate. Following an analysis of the data and in collaboration with ICC/Stakeholder members, further revisions will be determined.

(See Attachment 1 at the end of this indicator to view the survey used in FFY 2007.)

ACTIVITIES	RESOURCES	TIMELINES
Continuous collaboration with MPRRC consultant with the NCSEAM survey.	State staff	 January 2008 – June 30, 2010
Continuous collaboration with ICC/Stakeholder members on revising NCSEAM survey on examining the questions and deciding if the response scale and the length of the survey needs to change to eliminate the high percentage of non-response.	State staffMPRRC Consultant	• January 2008 – June 30, 2010
Continuous technical assistance as needed through out the year.	State staff	• January 2008 – June 30, 2010
Provide updates and technical assistance to service coordinators on the NCSEAM survey.	State staff	 January 2008 - June 30, 2010
Continuous tracking of the response rates and concerns in the regional areas for the purpose of trying to achieve maximum program satisfaction data.	State staff	 January 1, 2008

Attachment 1: Parent Survey used in FFY 2007

BIRTH TO 3 CONNECTIONS IN SOUTH DAKOTA FAMILY SURVEY

This is a survey for families of children receiving early intervention services. Your responses will help guide efforts to improve services and results for children and families. You may skip any item that you feel does not apply to your family.

Family Centered Services	Very Strongly Agree	Strongly Agree	Agree	Disagree	Strongly Disagree	Very Strongly Disagree
My family's daily routines were considered when planning for my child's services	1	2	3	4	5	6
2. I have felt part of the team when meeting to discuss my child	1	2	3	4	5	6
My Birth to 3 Connections Service Coordinator:						
3. Is available to speak with me on a regular basis	1	2	3	4	5	6
4. Is knowledgeable and professional	1	2	3	4	5	6
5. Provides written information in an understandable way	1	2	3	4	5	6
Provides information about how Early Intervention services will change when my child turns age three	1	2	3	4	5	6
Over the past year, Early Intervention services have helped					-	_
me and/or my family:						
7. Participate in typical activities for children and families in my community	1	2	3	4	5	6
8. Know about services in the community	1	2	3	4	5	6
9. Improve my family's quality of life	1	2	3	4	5	6
10. Know where to go for support to meet my child's needs	1	2	3	4	5	6
11. Know where to go for support to meet my family's needs	1	2	3	4	5	6
12. Get the services that my child and family need	1	2	3	4	5	6
13. Feel more confident in my skills as a parent	1	2	3	4	5	6
14. Keep up friendships for my child and family	1	2	3	4	5	6
15. Make changes in family routines that will benefit my child with special needs	1	2	3	4	5	6
16. Be more effective in managing my child's behavior	1	2	3	4	5	6
17. Do activities that are good for my child even in times of stress	1	2	3	4	5	6
18. Feel that I can get the services and supports that my child and family need	1	2	3	4	5	6
19. Understand how the Early Intervention system works	1	2	3	4	5	6
20. Be able to evaluate how much progress my child is making	1	2	3	4	5	6
Feel that my child will be accepted and welcomed in the community	1	2	3	4	5	6
Feel that my family will be accepted and welcomed in the community	1	2	3	4	5	6
23. Communicate more effectively with the people who work with my child and family	1	2	3	4	5	6
24. Understand the roles of the people who work with my child and family	1	2	3	4	5	6
25. Know about my child's and family's right concerning Early Intervention services	1	2	3	4	5	6
Do things with and for my child that are good for my child's development	1	2	3	4	5	6
27. Understand my child's special needs	1	2	3	4	5	6
28. Feel that my efforts are helping my child	1	2	3	4	5	6

APR Template – Part C (4)

South Dakota

29. What is your child's current age?

Under 1 year 1 year 2 years 3 years

30. What was your child's age when first referred to Birth to 3 Connections?

Under 1 year 1 year 2 years 3 years

31. What is your child's race/ethnicity?

1 American Indian or Alaska Native 3 Black (Not Hispanic) 5 White (Not Hispanic)

2 Asian or Pacific Islander 4 Hispanic

If you have any comments, please write them on the back of this page. Thanks! **P**irth Connections Dear Parents/Guardians:



This is a survey for families exiting the Birth to 3 Connections early intervention Program. We would really appreciate your taking time to answer the questions on the survey. Your responses will help guide efforts to improve services and results for children and families. Your service coordinator will hand you this survey packet upon exiting the program. Please take a couple of minutes right now to complete the survey, put it in the addressed, stamped envelope and hand it back

to the Service Coordinator. If you would prefer, you may also drop your completed survey in the mail (no postage necessary). There is nothing on the forms that will identify you in any way unless you choose to add your personal information. We have appreciated your participation in Birth to 3 Connections and sincerely hope it has made a difference for your child and family. Thank you.

Add comments here: (optional)

If you wish to talk about any concerns regardin call us at 1-800-305-3064 or 605-773-3678 or incompartment of Education Birth to 3 Connection	clude your contact information and a
Check one: No contact necessary	Contact me to visit about my concerns
	Print your name & phone number
	best time to call?
Signature (optional)	
	e-mail address
	Print your child's name
Birth to 3 Connections Department of Education	susan.sheppick@state.sd.us
700 Governors Drive	crystal.goeden@state.sd.us
Pierre SD 57501 1-800-305-3064 OR 605-773-3678 www.doe.sd.gov/oess/Birthto3	jan.lester@state.sd.us

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to National data.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	South Dakota will increase under age 1 child count to .95 percent of infant and toddlers.

Actual Target Data for July 1, 2007 - June 30, 2008. Target Met

Currently, the state is at 1.15% percent. The number is based on December 1, 2007 child count. South Dakota exceeded its target of 95% by 20%. The success of meeting this target is due to the collaboration with the Neonatal Infant Care Units (NICU) in the state during 2007 to increase the number of referrals for children under the age of one.

Actual Target Data for July 1, 2007 to June 30, 2008

Birth to One	2003	2004	2005	2006	2007*
Estimated state population of children under the age of one based on US Bureau of the Census and data and live births as reported to the SD Dept. of Health	10,463	10,855	11,067	11,464	11,720
Child count for children under the age of one	70	97	91	139	135
Percentage of children birth to one served on December 1, 2007	0.67%	0.89%	0.82%	1.21%	1.15%
National goal (actual achievement)	1.00% (0.97%)	1.00% (0.92%)	1.00% (0.92%)	1.00% (0.99%)	1.01% (1.06%)

^{*}Data Sources: US Department of Education, Office of Special Education Programs, Data Analysis System (DANS). Data updated as of July 15, 2008.

Discussion of Baseline Data:

Of the 13 states with a Moderate Eligibility criteria ranking, South Dakota ranked 7th in percentage of children served age birth to one (based on data from December 1, 2003). Seventy children out of 10,463 children in the state of this age or 0.67% had active IFSPs on December 1, 2003. This was .03% below the national goal for states as set by OSEP of serving 1% in this age group.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

The 2007 data shows that, among the 13 states with a Moderate Eligibility criteria, South Dakota ranked 6th in the percentage of children served age birth to one (based on data from IDEA Infant and Toddler Coordinators' Association as of December 1, 2007). South Dakota exceeded the target of 95% by 20%. The percentage of children served on December 1, 2007 was 1.15%, or 135 children. This is a slight decrease of .06% between 2006 and 2007, but an overall increase of .48% since 2003. The increase was the result of the Birth to 3 Connections program collaborating with the three NICUs in the state on the referrals to the Birth to Three program. Notwithstanding a slight decrease in 2005 and 2007, the state has had an average annual growth rate of .09% in this indicator since 2003.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system. The Birth to Three Connections program will continue to collaborate with the NICUs in the state during 2009.

SPP ACTIVITES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007 – JUNE 30, 2008
Collect data on referral sources and identify gaps in outreach	The state office continues to collect referral data from the regional program. The referral source has been added to the IFSP. This has provided the state with strong reliable data on referral sources.
Meet with Neonatal Infant Care Units (NICUs) staff of Sioux Valley Hospital, Avera McKennan Hospital, and Rapid City Regional Hospital, to dialog with them about the importance of Birth to 3 Connections program for families in South Dakota.	The Birth to 3 Connections staff had on-going discussions with each NICU unit as appropriate, based on the number of referrals from each. The state will be analyzing the data to further determine the impact of the discussions with the NICUs.
Have memorandums of understanding (MOU) developed with each NICU in the state	At this time, no MOUs are necessary, based on the data which has been analyzed.
Identify each of the birthing facilities in the state and develop a training packet and presentation on appropriate referrals to Birth to 3 Connections.	This activity was completed. A training packet was developed on referrals to the Birth to Three Connections program. The training packets were provided to the appropriate birthing facilities.
Review and update marketing materials and website for the Birth to 3 Connections program	The Birth to 3 Connections materials and website are reviewed every quarter or as needed.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008 [If applicable]

See above for discussion of continued activities to maintain the target.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to National data.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	South Dakota will increase the percent of infants and toddlers birth to three served under Birth to 3 Connections to 2.87percent.

Actual Target Data for July 1, 2007 - June 30, 2008. Target met.

Currently the state is at 3.27%. The data is based on child count from December 1, 2007. South Dakota has met and exceeded the target of 2.87 % by .40%.

Birth to Three	2004	2005	2006	2007*
Estimated state population of children under the age of three based on data from the 2000 census and the SD Dept. of Health	31,624	32,168	33,845	34,621
Child count for children served under the age of three	897	935	1006	1132
Percentage of children served birth to three	2.84%	2.91%	2.97%	3.27%
National goal (actual achievement)	2% (2.24%)	2% (2.24%)	2% (2.30%)*	2% (2.52%)

*Data Sources: US Department of Education, Office of Special Education Programs, Data Analysis System (DANS). Data updated as of July 15, 2008.

Discussion of Baseline Data:

Of the 13 states with a Moderate Eligibility criteria ranking, South Dakota ranked 6th in percentage of children served age birth to three in 2003. South Dakota served 830 children out of 31,183 children in this age group, or 2.66% had active IFSPs on December 1, 2003. This was .66% above the national goal for states as set by OSEP of at least 2% in this age group.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007– June 30, 2008:

For those states with a "Moderate Eligibility" criteria ranking, South Dakota ranked 5^h in the percentage of children birth to three, who received early intervention services (based on data from IDEA Infant and Toddler Coordinators' Association as of December 1, 2007).

Since 1992 when child count was first reported to OSEP the numbers have grown from 260 active IFSPs on the December 1 child count to 1132 children. This is .75% percent above the national average and 1.27% above the national goal for states set by OSEP. The data shows South Dakota served 1132 children or 3.27% had active IFSPs on December 1, 2007.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
Collect data on referral sources and identify gaps in outreach	Service coordinators collect the referral data on IFSPs and for children that do not qualify for the program.
Maintain current child find practices	The state office sends out marketing information and materials statewide to a variety of contacts. Service coordinators market the program within their local area.
Provide training for service coordinators on methamphetamine (meth) issues. The following information was addressed child endangerment, signs and symptoms of meth use and making sure you are aware of your environment.	During September 2006 service coordinator fall conference a presentation focused on meth and other safety issues. The state considers this activity completed.
Collaborate with Department of Social Services and Department of Human Services on procedure for referring children to the Birth to 3 Connection Program	Birth to Three Connections staff collaborated with the Department of Social Services on providing training to social workers and other interested professionals on the Child Abuse Prevention and Treatment Act (CAPTA) and the referral process for abused children.
Review and update marketing materials and website for the Birth to 3 Connections program	The Birth to 3 Connections program has continued to update and market the program from July 1, 2007–June 30, 2008.

APR Template - Part C (4)

South Dakota

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008 [If applicable]

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed)] times 100.

Account for untimely evaluations.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	100% of eligible infants and toddlers will have an evaluation and assessment and an initial IFSP meeting within 45 days of referral.

Actual Target Data for July 1, 2007 – June 30, 2008. Target met.

South Dakota is currently at 100% for this indicator. The data are valid and reliable. With the Birth to 3 Connections software system, state staff can generate a report which shows all children on active IFSPs to analyze whether the 45-day timeline was met.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

Birth to Three Connections program contracts with nine regional programs to provide service coordination. The state staff monitors each of the regional programs on a 3-year cycle. State staff reviews files specifically to determine the 45 day timeline was met.

Data for FFY 2007 (July 1, 2007-June 30, 2008) was determined through desk audits for the Hub Area Birth to Three, Heartland Hands Birth to Three, and Three Rivers Birth to Three programs. All three met the target of 100% in completing initial IFSPs within the 45 day timeline from the initial referral. Thirty percent (30%) of each program's active files were reviewed as follows:

- Hub Area Birth to Three 25 files reviewed
- Heartland Hands Birth to Three 13 files reviewed
- Three Rivers Birth to Three 31 files reviewed

All 69 files reviewed were in compliance with the 45-day timeline.

In addition, the state analyzes all data to determine that all children on active IFSPs are in compliance with the 45-day timeline and implements improvement plans by service coordinators, if necessary.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
Revision of page 1 of the IFSP to include referral date and instructions for completion	In September 2005, the state office revised page 1 of the IFSP and added the data piece to the software system.
	This activity has been completed.
Generate a list of new IFSPs from July 1, 2007 to capture the date of referral via service coordinator feedback	During this time reports were generated to drill down on why the 45 time frame was exceeded. The state office has been in contact with service coordinators to ensure compliance.
Training of service coordinators and technical assistance regarding the addition of the referral date to the IFSP	If the timeline was over the 45 days immediate technical assistance was provided as needed based on every initial IFSP.
Program referral date into data system	The data system was revised and a report can be generated at any point.
Change monitoring system to use the additional element (referral date) for purposes of data verification and monitoring of the 45 day requirement.	The data system was revised and a report can be generated at any point.
Conduct an annual desk audit of the 45 day timeline as a part of the state monitoring system.	The updated data system allows the state to monitor all initial IFSPs for the 45 day time line requirement at the time of submission.
Birth to 3 will monitor programs for compliance with this indicator. When noncompliance is identified, state Birth to 3 staff will work with	The Hub Area Birth to Three program had no compliance issues with the 45 day timeline.
program to determine nature of noncompliance, develop and implement Improvement Plan or Corrections Action.	The Heartland Hands Birth to Three program had no compliance issues with the 45 day timeline.
	Three Rivers Birth to Three (formerly Easter Seals) had no compliance issues with the 45 day timeline.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 20087: [If applicable]

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.
- B. Percent = [(# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target		
2007 (2007-2008)	A. 100% IFSPs with transition steps and services	B. 100% Notification to LEA, if child potentially eligible for Part B	C. 100% Timely Transition conference, if child potentially eligible for Part B

Actual Target Data for July 1, 2007 – June 30, 2008 is 100%. Target Met

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007- June 30, 2008:

The Birth to 3 Connections program monitors regional programs on a 3-year cycle. During FFY 2007 Hub Area Birth to 3 Connections, Heartland Hands Birth to 3 Connections, and Three Rivers Birth to 3 Connections were monitored. Monitoring consists of conducting a desk audit which includes reviewing 30% of randomly selected files from the 2007-2008 data. State staff checks for appropriate transition steps which were taken prior to age 3 on the IFSP; a referral (notification) to the school district for evaluation and the date the referral was made; and documentation of the transition planning conference.

It was determined that all files reviewed were in 100% compliance in the three categories as shown in the following table:

July 1, 2007 - June 30, 2008

Local Program	Monitoring	Number of Files Reviewed	A. IFSPs with transition steps and services	B. Notification to LEA, if child potentially eligible for Part B	C. Timely transition conference, if child potentially eligible for Part B
Hub Area Birth to Three	October 2007	26 files	100%	100%	100%
Heartland Hands	November 2007	10 files	100%	100%	100%
Three Rivers Birth to Three	May 2008	26 files	100%	100%	100%

The lead agency Part C staff has placed increased focus on the transition planning conferences with all service coordinators and early intervention providers. The lead agency staff have emphasized that transition planning is part of the IFSP process at every review and beginning when a child is 27 months old, and with the permission of the parent. The Part B school district representatives are to be invited to reviews for the express purpose of transition planning. It is encouraged that the transition meeting for a child nearing age 3 be combined with the regularly scheduled 6 month IFSP review in order to save meeting time for parents, school district representatives and early intervention providers. The federal regulations for Part C and Part B have helped with the enforcement of timelines and having the appropriate people at the transition planning conferences.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

The state is able to spot check the data on all children throughout the year. This is not part of the 3 year monitoring cycle. In FFY 2006, a spot check of the data identified 20 children as not having a transition planning conference within the appropriate timeframe. Of those 20 children, 2 were due to family circumstances, and 18 were due to a lack of appropriate planning on the part of a service coordinator. The state provided training and technical assistance to the service coordinator on appropriate planning and scheduling of transition conferences.

The Birth to 3 Connections data is valid and reliable because the state staff reviews all data information before final entry into the data software system.

SPP- ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
Revise the IFSP to incorporate additional transition planning.	The IFSP plan was revised the fall 2005. The Birth to 3 Connections software system was updated to incorporate the transition planning information.
	In 2007, an update was added to the software system to capture the data for documented family or other circumstances outside of the lead agency's control.
Train service coordinators regarding the use of the updated IFSP transition and review pages.	Training was provided at the annual Fall Conference in September 2007.
Continue current practice of reviewing transition documentation on IFSPs.	Ongoing with review of IFSPs.
Continue current level of technical assistance to service coordinators.	Ongoing technical assistance with service coordinators.
Collaborate with the 619 Coordinator quarterly to coordinate information to improve transition for children and families.	Ongoing quarterly collaboration with the 619 Coordinator.
Collaborate with the 619 Coordinator to identify areas, districts and providers that need state technical assistance and/or training on transition.	Ongoing quarterly collaboration with the 619 Coordinator

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines/ Resources for July 1, 2007 – June 30, 2008 [If applicable]

No revision necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	100% of general supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Actual Target Data for July 1, 2007 - June 30, 2008 is at 100%. Target met.

The state met the target for this indicator. Three regional programs were monitored in FFY 2007, as specified in the State Performance Plan (SPP). Hub Area Birth to Three, Heartland Hands Birth to Three, and Three Rivers Birth to Three were in 100% compliance.

Table 1 shows the monitoring results from the following three regional programs in FFY 2006: Northwest Birth to Three; Southeast Birth to Three; and South Dakota Cares (formerly Easter Seals Birth to Three).

Table 1

Area	Number of findings for 07/01/2006 -06/30/2007	Number of findings corrected 07/01/2007 - 06/30/2008
General Supervision	0	N/A
(FFY 2006 Indicator 7 – initial IFSP within 45-day timeline)		
Early Childhood Transition	0	N/A
(FFY 2006 Indicator 8C – timely transition conference)		
Early Intervention Services in Natural Environments	0	N/A
Family-Centered Services	0	N/A
Comprehensive Public Awareness and Child Find	0	N/A

As illustrated in **Table 2**, there were no findings of non-compliance related to Indicator 9a in the number of findings in priority areas. In Indicator 9b there were no findings in the areas not included in priority areas.

Table 2 - Indicator 9 A and B

	a. # of findings in priority areas	a. # of findings in areas not in priority areas	b. # of corrections completed	b. % of corrections completed in one year
7/07 -6/08	0	0	N/A	N/A

As illustrated in **Table 3**, there were no findings related to Indicator 9C.a. – 9C.c. within mechanisms such as complaints, due process hearings, or mediations.

Table 3 - Indicator 9C

	C.a. # of programs cited	C.b. # of findings	C.c. # of corrections
			completed
7/07-6/08	0	0	N/A

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

There were no non-compliance findings for the three regional programs which were monitored during the FFY 2006 and FFY 2007.

The state is able to spot check the data on all children throughout the year. This is not part of the 3 year monitoring cycle. In FFY 2006, a spot check of the data identified 20 children as not having a transition planning conference within the appropriate timeframe. Of those 20 children, 2 were due to family circumstances, and 18 were due to a lack of appropriate planning on the part of a service coordinator. The state provided training and technical assistance to the service coordinator on appropriate planning and scheduling of transition conferences.

The Birth to Three Connections program uses regulations from Part C of the Individual with Disabilities Education Act (IDEA) to monitor regional programs. The following is an overview of the components of the State's general supervision system:

- 1. Collaborating with agencies, institutions, organizations and Interagency Coordinating Council used in the state to carry out the Birth to 3 Connections program;
- 2. Providing technical assistance, if necessary, to those agencies, institutions, organizations and Interagency Coordinating Councils;
- 3. Staff certification and licensure are reviewed by the service coordinators and state staff.
- 4. Parent surveys are given to all parents that were involved in the early intervention program over the past year. The responses are discussed at the state and local level and decisions are made as to what action/if any needs to be taken.
- 5. Each IFSP and completed Payer of Last Resort (PLR) form is reviewed by the Birth to 3 Connections state office staff to assure that state and federal regulations and guidelines are met before information is entered in the SD Data System.
- 6. Regional programs are reviewed every three years by the State. Monitoring is completed for all 13 regional areas on a three-year-cycle. This process includes early intervention record review of 30% of the files randomly selected; interviews with parents, local service providers; and review of parent survey data based on a survey sent from the state office to families that receive services in that regional area. The findings are compiled into a final report with a corrective actions plan with required timelines for correction. Technical assistance is provided to the applicant areas to ensure closures of corrective action plan.
- 7. Early intervention providers sign a provider agreement to abide by all Federal and State laws and regulations which include requirements related to serving children in natural environments.
- 8. South Dakota Part C has a software/billing system which provides data for the Birth to 3 Connections program to meet the OSEP federal requirements. Data are encoded from each IFSP, PLR, survey, exiting data etc. on each child and provider within the Part C system. All completed IFSPs (initial and reviewed) are submitted to the state by the service coordinator within 30 days of the IFSP meeting. State staff reviews the IFSP to verify accuracy and completeness. State staff follow-up with the service coordinator if inaccuracies are found. Upon completion of this process, data are entered into the state Birth to Three data system. In addition, the data system includes built-in error pop-ups as part of data verification. Necessary corrections are made as a result of this process.
- 9. In order to ensure correction of all noncompliance when a regional program has received such findings, the following occurs: a) State monitoring team identifies areas of noncompliance to ensure consistency with the requirement of Individual with Disabilities Education Act. b) State identifies steps and required evidence of changes the regional applicant area must implement to correct the noncompliance; c) Regional applicant area submits activities they will use to reach compliance. d) Regional applicant area update progress reports toward corrections. e) State ensures correction of noncompliance within one year of the identification of the noncompliance.
- 10. The lead agency (Department of Education), Birth to 3 Connections program has divided the state into thirteen regions which include 66 counties. Every three years a Request for Proposal (RFP) is open for interested organizations to provide Birth to 3 Connections services. The local applicants must submit an application on an annual basis. Review and approval of local applicants is completed by the state office. Midyear and final status and expenditure reports are also submitted to the state office.

- 11. All regional areas are renewed on an annual basis. The state office Birth to 3 Connections program makes decision each year based on an applicant's adherence to requirements.
- 12. Birth to 3 Connections program incorporates findings from all dispute resolution processes into the general supervision.
- 13. The Birth to 3 Connections uses the website http://doe.sd.gov/oess/Birthto3/index.asp for public awareness and reporting of information on the program

Should there be a finding, the state has a system in place that requires all corrections will be completed in one year. If a regional program does not meet the corrective action plan within one year, the state evaluates the effectiveness of the various incentives and/or sanctions related to monitoring findings by keeping track of the findings. Those entities which would be delinquent in meeting corrective action timelines are notified by letter. The content of the letter would include the following information:

- 1. Failure to voluntarily correct an identified deficiency constitutes a failure to administer the program in compliance with federal law.
- The action the Office of Educational Services and Support (OESS) intends to take in order to enforce compliance with the state and federal law;
- 3. The right to a hearing prior to OESS exercise of its enforcement responsibility; and
- 4. The consequence of the OESS enforcement action on continued and future state and federal funding.

U.S. Office of Special Education Programs (OSEP) reported in the November 16, 2006 verification memo to SD Department of Education the following information about the verification visit that occurred in July 2007: "Based on the information provided to OSEP during the verification visit, OSEP believes that the State's Part C general supervision system constitutes a reasonable approach to identifying and correcting noncompliance, and that the State's Part C system for collecting and reporting data from EIS programs is a reasonable approach to ensuring the accuracy of the data the State is required to report to OSEP under IDEA Section 618."

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
Continue to monitor applicant areas every three years	State office monitored three local programs in 2007 and three local programs in 2008. In 2007 an update was added to the data system that
	monitors timely transition meetings and reports exceptional family or other circumstances outside of the lead agency's control.
Change monitoring system to use the additional element (referral date) for purposes of data verification and monitoring of the 45 day requirement and timely services.	The data system includes the 45 day requirement. With this system, the state office can generate a report for each local program to monitor whether this requirement is being met.
	In addition, the data system monitors for timely services within 30 days and documents exceptional family circumstance outside of the lead agency's control.
Conduct a desk audit of the 45 day timeline as a part of the state onsite monitoring system	When monitoring the local programs a report is generated to know whether the local program is meeting the compliance issue with this indicator.

TA and training for service coordinators and providers as needed through out the year	The state office gives immediate TA to local service coordinators, providers, and schools as needed.
Review current system of sanctions and incentives including technical assistance	The state office received a memo from OSEP in November 2006, which stated in effect that South Dakota's policies and procedures, including sanctions and incentives, were appropriate to meet the requirements of OSEP.
Revise sanctions and incentives and making necessary revisions	Policies and procedures were reviewed by OSEP, including sanctions and incentives. The state office received a memo from OSEP in November 2006, which stated in effect that South Dakota's policies and procedures, including sanctions and incentives, were appropriate to meet the requirements of OSEP.
Making changes to contract language as needed	Contracts include language that was added to cover the 14 SPP - Indicators.
	The provider agreement language covers Indicator # 1 regarding timely service.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008:

[If applicable] No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(1.1(b) + 1.1(c))] divided by 1.1] times 100.

FFY	Measurable and Rigorous Target	
2007 (2007-2008)	100 percent of signed written complaints with reports issued will be resolved within 60-day timeline.	

Actual Target Data for July 1, 2007 – June 30, 2008: Target met.

South Dakota is at 100%. South Dakota has had no formal complaints at the state level during this time.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
South Dakota State Education Agency (SEA) staff will review all procedures for conducting complaint investigations. Training and technical assistance is provided to ensure complaint investigators follow the procedural requirements under IDEA.	Part C is coordinating with Part B on the complaint procedures and follow-up.
A protocol will be maintained by SEA to ensure timelines and procedures are followed for complaint resolutions.	Part C is coordinating with Part B on the complaint procedures and follow-up.

The state agency will contract with a regional resource center in the development of a system of complaint investigators who will contract with the state agency to facilitate complaint investigations.	Part C is coordinating with Part B on the complaint procedures and follow-up.
Update Special Education Programs (SEP) complaint investigation manual on website and disseminate on the website.	The Parents' Rights document was updated to meet IDEA 2004 requirements. The complaint forms have been developed and added to the website to assist parents to file a complaint should they wish to proceed with a formal process.
Service Coordinator's Training and technical assistance to assist with the parent rights	Ongoing A Spanish version of a parents' rights is available on the Birth to 3 website. Printed versions are also available upon request.
Service Provider Training on parent rights	Ongoing A Spanish version of parents' rights is available on the Birth to 3 website. Printed versions are also available upon request. Surveys indicated that parents know their rights. Parents' Rights booklet distributed with every updated provider agreement.
Check for ideas on tracking system for recording issues. Pursue feasibility of developing a tracking system for recording resolution of informal issues that are addressed so formal resolution is not necessary.	Reviewed recommendations and decided the tracking system was not an appropriate activity that relates back to the target.

During this period, South Dakota has not had any formal complaints. South Dakota, like other Midwest states, is historically not a litigious state. Since the state has so many small towns, people work hard to settle disputes among themselves before bringing in other parties.

The Part C program collaborates with South Dakota Advocacy, Partners in Policymaking which is an active training program for individuals with disabilities or their family. The state office has a strong relationship with SD Parent Connection. During the summer of 2006, the Governors office appointed the SD Parent Connection director to the Interagency Coordinating Council. SD Parent Connection is a strong component to the Part C program.

The Part C program has consistent service coordinators and state staff in the South Dakota Birth to 3 Connections program for over 210 years of combined services and experience. The Part C state office is very accessible in

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South Dakota

taking calls daily from service coordinators, parents, school districts or service providers that may have questions or problems. The Birth to 3 Connections program's goal is to assist in problem solving at the lowest level.

The surveys from the local areas indicate that parents in South Dakota do know their parental rights.

State staff will review the Parent Rights booklet once Part C regulations are finalized.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008[if applicable]

No revision necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(3.2(a) + 3.2(b))] divided by 3.2 times 100.

FFY	Measurable and Rigorous Target	
2007 (2007-2008)	100 percent of fully adjudicated due process hearing requests were fully adjudicated within the applicable timeline.	

Actual Target Data for July 1, 2007 - June 30, 2008 is 100%. Target met.

South Dakota is at 100%. South Dakota has had no due process hearing request at the state level during this time.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
The state will monitor its hearing process and timelines to ensure maintenance of 100% adjudication.	In preparation for the OSEP visits all policies and procedures were reviewed with the hearing process.
Update Administrative Rules for South Dakota concerning due process hearings and resolution sessions when final federal regulations are complete.	Waiting final Part C regulations Part C program will collaborate with the Part B director regarding procedures with due process and mediation procedures.
Provide training for legal assistant for the department concerning the update regulations.	Waiting final Part C regulations

Joint training for State staff and parents on procedural safeguards	Waiting final Part C regulations
Conduct update for Part C for hearing officers	Waiting final Part C regulations
Service Coordinator's Training to assist with the parents' rights	During the upcoming Fall Conference 2007, Birth to Three staff provided training on parents' rights.
Update parents' rights video	Waiting final Part C regulations

During this period, South Dakota has not had any due process hearing requests. South Dakota, like other Midwest states, is historically not a litigious state. Since the state has so many small towns, people work hard to settle disputes among themselves before bringing in other parties.

The Part C program collaborates with South Dakota Advocacy, Partners in Policymaking which is an active training program for individuals with disabilities or their family. The state office has a strong relationship with SD Parent Connection. During the summer of 2006, the Governors office appointed the SD Parent Connection director to the Interagency Coordinating Council. SD Parent Connection is a strong component to the Part C program.

The Part C program has consistent service coordinators and state staff in the South Dakota Birth to 3 Connections program for over 210 years of combined services and experiences. The Part C state office is very accessible in taking calls daily from service coordinators, parents, school districts or service providers that may have questions or problems. The Birth to 3 Connections program's goal is to assist in problem solving at the lowest level.

The surveys from the local areas indicate that parents in South Dakota do know their parental rights.

State will review the Parents' Rights booklet once Part C regulations are finalized.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008:

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a)) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2007 (2007-2008)	75% of hearing request will be resolved through resolution session settlement agreement.

Actual Target Data for July 1, 2007 - June 30, 2008:

There were no resolutions held in 2007-2008.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i))] divided by 2.1] times 100.

FFY	Measurable and Rigorous Target	
2007 (2007-2008)	No target necessary when state has less then 10 mediations	

Actual Target Data for July 1, 2007 - June 30, 2008:

South Dakota has not had mediation during this time period.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

SPP ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES
	JULY 2007- JUNE 30, 2008
South Dakota tracks mediations to ensure timelines and procedures are followed.	Ongoing. To date as of July 1, 2008 no mediations have occurred.
Revise Part C Parents' Rights Booklet	Completed
Revise Parents' Rights video	Waiting for Part C regulations to be finalized.

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008: [If applicable]

No revisions necessary.

Overview of the Annual Performance Report Development: See page one.

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and
- b. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).

	FFY	Measurable and Rigorous Target	
(2007 (2007-2008)	100% of the state reported data (618, SPP, APR) are timely.	100% of the state reported data (618, SPP, APR) are accurate.

FFY	Accurate Target Data	
2007 (2007-2008)	100% of the state reported data (618, SPP, APR) are timely and accurate.	
	 Submitted 618 data (due 2/1/2008 and 11/1/2008) 	
	Submitted SPP (due 12/2/2005)	
	Submitted APR (due 02/01/2008)	

Actual Target Data for July 1, 2007 - June 30, 2008 is 100%: Target met.

South Dakota submits accurate 618 data, state performance plan and annual performance report information with in the required time lines.

Discussion of Improvement Activities Completed <u>and</u> Explanation of Progress or Slippage that occurred for July 1, 2007 – June 30, 2008:

State Birth to 3 staff reviews, analyzes and encodes the data to ensure reliability in the system. The Birth to 3 Connections data collection system has edit checks within the system to better ensure the reliability. Reports are generated to cross check the data for accuracy.

The data is sound because state staff reviews and analyzes the data before the information is entered into the system. The child count data are double checked with the regional area programs to ensure the validity of the data. Each service coordinator signs off on a card to verify the data is correct according to federal and sate regulations. The cards are sent to the state Birth to 3 Connections program.

U.S. Office of Special Education Programs (OSEP) reported in the November 16, 2006 verification memo to SD Department of Education the following information about the verification visit that occurred in July 2007: "Based on the information provided to OSEP during the verification visit, OSEP believes that the State's Part C general supervision system constitutes a reasonable approach to identifying and correcting noncompliance, and that the State's Part C system for collecting and reporting data from EIS programs is a reasonable approach to ensuring the accuracy of the data the State is required to report to OSEP under IDEA Section 618."

SPP - ACTIVITIES	PROGRESS IMPROVEMENT ACTIVITES JULY 1, 2007- JUNE 30, 2008
Training for data manager	Attended the Data Manager Meeting June 2008
Decisions will be made regarding plans for additions to the data system	Ongoing
Changes will be made to the data system	The data system was updated to capture evaluation, referral, and transition data to meet the IDEA 2004 requirements. The Birth to 3 Connections service coordinators and state staff verifies child count each year.
Report Child Count data February 1 st and November 1 st of each year	Ongoing
Report Exiting and Dispute Resolution data November 1 st of each year."	Ongoing
Quarterly meeting with Bureau of Information and Telecommunications staff regarding the data software and data reports.	Ongoing

Revisions, <u>with Justification</u>, to Proposed Targets / Improvement Activities / Timelines / Resources for July 1, 2007 – June 30, 2008

No revisions necessary.